

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
HARISH C. JOSHI, et al.)	Art Unit: 1614
)	
Application No. 10/056,913 Patent No. 6673814)	Examiner: Dwayne C. Jones
)	
Filed: 01-25-2002 Issued 09-26-2002)	Confirmation No. 3014
)	
FOR: DELIVERY SYSTEMS AND METHODS)	Emory Docket: 02040 US
FOR NOSCAPINE AND NOSCAPINE)	
DERIVATIVES, USEFUL AS ANTICANCER)	
<u>AGENTS</u>)	

STATUS INQUIRY

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Customer Number 81100

August 31, 2011

Sir:

Please advise Applicants of the status on the Petition For Correction of Inventorship filed on June 16, 2009 (copy attached). To date there has been no action on the Petition.

The Examiner is encouraged to contact the undersigned if there are any questions regarding this filing. A Power of Attorney and Statement Under 3.73(b) in favor of the undersigned are being submitted with the Status Inquiry.

Respectfully submitted,

/Susanne Hollinger, Reg. No. 51811/

Susanne Hollinger, JD, Ph.D. (51,811)

EMORY UNIVERSITY
Customer Number 81100
1599 Clifton Road NE, 4th Floor
Atlanta, GA 30322
Email: OPTT-IP@EMORY.EDU
(404) 727-2211 (phone)

Confirmation No. 3014

PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324

The undersigned attorney of record in the patent identified above hereby petitions to correct an error of inventorship in the above-referenced application. Applicants hereby request that the U.S. Patent and Trademark Office under 37 C.F.R. § 1.324, pursuant to 35 U.S.C. § 256, correct inventorship of the above-identified patent by adding **John A. Petros** as a named inventor. The error arose without any deceptive intention on the part of the persons who were named as inventors and all of the currently named inventors agree to the change of inventorship. Once this petition is granted, the inventors in this application will be Harish C. Joshi, Decatur, GA; Ye Keqiang, Baltimore, MD; Judith Kapp, Atlanta, GA; Jaren Landen, Decatur, GA; David Archer, Roswell, GA; Cheryl Armstrong, Winnetka, IN;

USADMIN 9407415.1

Fuqiang Liu, Piscataway, NJ and John A. Petros, Norcross, GA. The original named inventors executed a complete assignment of ownership to Emory University recorded on May 9, 2002. The assignee of the invention, Emory University, consents to the correction of the named inventors. Applicants hereby request that the order of the inventors in this application be as shown above.

Pursuant to the requirements of §1.324(b), applicants submit herewith the following:

- 1) Statement from John A. Petros that error in inventorship occurred without deceptive intention.
- 2) Statement from Harish C. Joshi, listed inventor, agreeing to or stating no disagreement with the change in inventorship.
- 3) Statement from Ye Keqiang, listed inventor, agreeing to or stating no disagreement with the change in inventorship.
- 4) Statement from Judith Kapp, listed inventor, agreeing to or stating no disagreement with the change in inventorship..
- 5) Statement from Fuqiang Liu, listed inventor, agreeing to or stating no disagreement with the change in inventorship.
- 6) Statement from Jaren Landen, listed inventor, agreeing to or stating no disagreement with the change in inventorship.
- 7) Statement from David Archer, listed inventor, agreeing to or stating no disagreement with the change in inventorship.
- 8) Statement from Cheryl Armstrong, listed inventor, agreeing to or stating no disagreement with the change in inventorship.
- 9) Statement from Emory University, assignee of the patent, agreeing to the change in inventorship.

10) Petition fee of \$130.00 for a correction of inventorship pursuant to 37 C.F.R. 1.324.

No other fees are believed due; however, the Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment, to Deposit Account No. 11-0855.

Respectfully submitted,

/s/ Vanessa M. Blake

Vanessa M. Blake
Reg. No. 58,522

KILPATRICK STOCKTON LLP
Suite 2800
1100 Peachtree Street
Atlanta, Georgia 30309-4530
Telephone: (404) 815-6500
Facsimile: (404) 815-6555
Attorney Docket No. E2690/199298

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harish C. Joshi et al.

Patent No. **6,673,814**

Issued: **January 6, 2004**

For: **DELIVERY SYSTEMS AND METHODS
FOR NOSCAPINE AND NOSCAPINE
DERIVATIVES, USEFUL AS ANTICANCER
AGENTS**

**DECLARATION OF DAVID ARCHER IN SUPPORT OF PETITION FOR
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, David Archer, declare as follows:

1. I declare that I am an inventor in the above referenced issued patent. It was an error that John A. Petros was not named in the above-identified issued patent and this error in inventorship occurred with no deceptive intent on my part. I agree to the change of inventorship to add Dr. John A. Petros as an inventor of U.S. Patent No. 6,673,814.

2. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the issued patent.

Our Docket Number: E2690-199298

Declaration of David Archer
Patent No. 6,673,814
Issued: January 6, 2004
Page 2

Date: 3/30/09

David Archer
David Archer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harish C. Joshi et al.

Patent No. **6,673,814**

Issued: **January 6, 2004**

For: **DELIVERY SYSTEMS AND METHODS
FOR NOSCAPINE AND NOSCAPINE
DERIVATIVES, USEFUL AS ANTICANCER
AGENTS**

**DECLARATION OF CHERYL ARMSTRONG IN SUPPORT OF PETITION FOR
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Cheryl Armstrong, declare as follows:

1. I declare that I am an inventor in the above referenced issued patent. It was an error that John A. Petros was not named in the above-identified issued patent and this error in inventorship occurred with no deceptive intent on my part. I agree to the change of inventorship to add Dr. John A. Petros as an inventor of U.S. Patent No. 6,673,814.

2. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the issued patent.

Declaration of Cheryl Armstrong
Patent No. 6,673,814
Issued: January 6, 2004
Page 2

Date: March 30, 2009

Cheryl Armstrong
Cheryl Armstrong

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Harish C. Joshi et al.)
)
Patent No. **6,673,814**)
)
Issued: **January 6, 2004**)
)
For: **DELIVERY SYSTEMS AND METHODS**)
FOR NOSCAPINE AND NOSCAPINE)
DERIVATIVES, USEFUL AS ANTICANCER)
AGENTS)

**DECLARATION OF EMORY UNIVERSITY IN SUPPORT OF PETITION FOR
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Mary Severson, declare as follows:

1. Emory University is the assignee of record of U.S. Patent No. 6,673,814.
2. The assignment from the current inventors was recorded on May 9, 2002 and is located at Reel: 012883 and Frame 0678.
3. My title is Chief Technology Officer and Associate Director, OTT. I have authority to act on behalf of Emory University, the assignee of record of the patent. On

behalf of Emory University, I agree to the change of inventorship under 37 C.F.R. §1.324 to add Mr. John A. Petros as an inventor of U.S. Patent No. 6,673,814.

4. Once the inventorship is corrected, the inventors of this patent will be Harish C. Joshi, Keqiang Ye, Judith Kapp, Jaren Landen, David Archer, Cheryl Armstrong, Fuqiang Liu and John A. Petros.

Date: March 27, 2009



Mary Severson

☒ Assignment recorded on

May 9, 2002

Reel: 012383

Frame: 0678

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harish C. Joshi et al.

Patent No. **6,673,814**

Issued: **January 6, 2004**

For: **DELIVERY SYSTEMS AND METHODS
FOR NOSCAPINE AND NOSCAPINE
DERIVATIVES, USEFUL AS ANTICANCER
AGENTS**

**DECLARATION OF HARISH C. JOSHI IN SUPPORT OF PETITION FOR
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Harish C. Joshi, declare as follows:

1. I declare that I am an inventor in the above referenced issued patent. It was an error that John A. Petros was not named in the above-identified issued patent and this error in inventorship occurred with no deceptive intent on my part. I agree to the change of inventorship to add Dr. John A. Petros as an inventor of U.S. Patent No. 6,673,814.

2. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the issued patent.

Declaration of Harish C. Joshi
Patent No. 6,673,814
Issued: January 6, 2004
Page 2

Date: 04/17/2009

Harish C. Joshi

Harish C. Joshi

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harish C. Joshi et al.

Patent No. **6,673,814**

Issued: **January 6, 2004**

For: **DELIVERY SYSTEMS AND METHODS
FOR NOSCAPINE AND NOSCAPINE
DERIVATIVES, USEFUL AS ANTICANCER
AGENTS**

**DECLARATION OF JUDITH KAPP IN SUPPORT OF PETITION FOR
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Judith Kapp, declare as follows:

1. I declare that I am an inventor in the above referenced issued patent. It was an error that John A. Petros was not named in the above-identified issued patent and this error in inventorship occurred with no deceptive intent on my part. I agree to the change of inventorship to add Dr. John A. Petros as an inventor of U.S. Patent No. 6,673,814.

2.. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the issued patent.

Our Docket Number: E2690-199298

Declaration of Judith Kapp
Patent No. 6,673,814
Issued: January 6, 2004
Page 2

Date: March 27, 2009

Judith Kapp
Judith Kapp

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harish C. Joshi et al.

Patent No. **6,673,814**

Issued: **January 6, 2004**

For: **DELIVERY SYSTEMS AND METHODS
FOR NOSCAPINE AND NOSCAPINE
DERIVATIVES, USEFUL AS ANTICANCER
AGENTS**

**DECLARATION OF JAREN LANDEN IN SUPPORT OF PETITION FOR
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Jaren Landen, declare as follows:

1. I declare that I am an inventor in the above referenced issued patent. It was an error that John A. Petros was not named in the above-identified issued patent and this error in inventorship occurred with no deceptive intent on my part. I agree to the change of inventorship to add Dr. John A. Petros as an inventor of U.S. Patent No. 6,673,814.

2. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the issued patent.

Our Docket Number: E2690-199298

Declaration of Jaren Landen
Patent No. 6,673,814
Issued: January 6, 2004
Page 2

Date: 4/21/07

Jaren Landen
Jaren Landen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Harish C. Joshi et al.)
)
Patent No. **6,673,814**)
)
Issued: **January 6, 2004**)
)
For: **DELIVERY SYSTEMS AND METHODS**)
FOR NOSCAPINE AND NOSCAPINE)
DERIVATIVES, USEFUL AS ANTICANCER)
AGENTS)

**DECLARATION OF FUQIANG LIU IN SUPPORT OF PETITION FOR
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

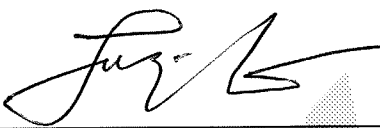
Sir:

I, Fuqiang Liu, declare as follows:

1. I declare that I am an inventor in the above referenced issued patent. It was an error that John A. Petros was not named in the above-identified issued patent and this error in inventorship occurred with no deceptive intent on my part. I agree to the change of inventorship to add Dr. John A. Petros as an inventor of U.S. Patent No. 6,673,814.

2. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the issued patent.

Date: March 30, 2009


Fuqiang Liu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harish C. Joshi et al.

Patent No. **6,673,814**

Issued: **January 6, 2004**

For: **DELIVERY SYSTEMS AND METHODS
FOR NOSCAPINE AND NOSCAPINE
DERIVATIVES, USEFUL AS ANTICANCER
AGENTS**

**DECLARATION OF JOHN A. PETROS IN SUPPORT OF PETITION FOR
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, John A. Petros, declare as follows:

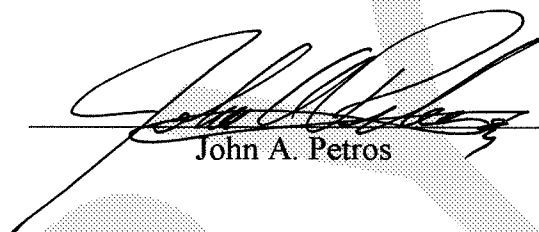
1. I currently reside at 2365 Flint Creek Drive, Cumming, GA 30041. I declare that I was an inventor of the subject matter claimed in the above referenced issued patent. It was by error that I was not named as an inventor in the above-identified issued patent. The error of failing to include myself as an inventor of the above-identified issued patent occurred without any deceptive intention on my part.

2. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title

Declaration of John A. Petros
Patent No. 6,673,814
Issued: January 6, 2004
Page 2

18 of the United States Code, and that such willful false statements may jeopardize the validity of the issued patent.

Date: 5-30-09



John A. Petros

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harish C. Joshi et al.

Patent No. **6,673,814**

Issued: **January 6, 2004**

For: **DELIVERY SYSTEMS AND METHODS
FOR NOSCAPINE AND NOSCAPINE
DERIVATIVES, USEFUL AS ANTICANCER
AGENTS**

**DECLARATION OF YE KEQIANG IN SUPPORT OF PETITION FOR
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.324**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Ye Keqiang, declare as follows:

1. I declare that I am an inventor in the above referenced issued patent. It was an error that John A. Petros was not named in the above-identified issued patent and this error in inventorship occurred with no deceptive intent on my part. I agree to the change of inventorship to add Dr. John A. Petros as an inventor of U.S. Patent No. 6,673,814.

2. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the issued patent.

Our Docket Number: E2690-199298

Declaration of Ye Keqiang
Patent No. 6,673,814
Issued: January 6, 2004
Page 2

Date: 04/15/2009

Keqiang Ye 
Ye Keqiang

Electronic Patent Application Fee Transmittal

Application Number:	10056913			
Filing Date:	25-Jan-2002			
Title of Invention:	DELIVERY SYSTEMS AND METHODS FOR NOSCAPINE AND NOSCAPINE DERIVATIVES, USEFUL AS ANTICANCER AGENTS			
First Named Inventor/Applicant Name:	Harish C. Joshi			
Filer:	Elena S. Polovnikova/Christy Flagler			
Attorney Docket Number:	E2690/268902			
Filed as Large Entity				
Utility under 35 USC 111(a) Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Petition fee- 37 CFR 1.17(h) (Group III)	1464	1	130	130
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Total in USD (\$)				130

COPY

Electronic Acknowledgement Receipt

EFS ID:	5528012
Application Number:	10056913
International Application Number:	
Confirmation Number:	3014
Title of Invention:	DELIVERY SYSTEMS AND METHODS FOR NOSCAPINE AND NOSCAPINE DERIVATIVES, USEFUL AS ANTICANCER AGENTS
First Named Inventor/Applicant Name:	Harish C. Joshi
Customer Number:	23370
Filer:	Elena S. Polovnikova/Christy Flagler
Filer Authorized By:	Elena S. Polovnikova
Attorney Docket Number:	E2690/268902
Receipt Date:	16-JUN-2009
Filing Date:	25-JAN-2002
Time Stamp:	17:45:20
Application Type:	Utility under 35 USC 111(a)

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Payment was successfully received in RAM	\$ 130
RAM confirmation Number	4022
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Petition for review by the Office of Petitions.	inventorship.pdf	91913	no	3
			3b5c4dde9e9aa8b2fd56d9af2d503ba8f6838d1		
Warnings:					
Information:					
2	Oath or Declaration filed	Archer.pdf	54513	no	2
			95a804848e67e5c2fd5913a3c19fe5ff2f9b39f1		
Warnings:					
Information:					
3	Oath or Declaration filed	armstrong.pdf	53834	no	2
			2e2a9e0bb66f4e49605aa5399945779024f861ad		
Warnings:					
Information:					
4	Oath or Declaration filed	emory.pdf	51971	no	2
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Warnings:					
Information:					
5	Oath or Declaration filed	joshi.pdf	53630	no	2
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Warnings:					
Information:					
6	Oath or Declaration filed	kapp.pdf	55130	no	2
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Warnings:					
Information:					
7	Oath or Declaration filed	landen.pdf	53115	no	2
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Warnings:					
Information:					
8	Oath or Declaration filed	liu.pdf	56884	no	2
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Warnings:					
Information:					
9	Oath or Declaration filed	petros.pdf	62264	no	2
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Warnings:					
Information:					

10	Oath or Declaration filed	ye.pdf	50795 8b4901cab957e01d5ae92546dc1eabc29a2b87ca	no	2
Warnings:					
Information:					
11	Fee Worksheet (PTO-875)	fee-info.pdf	30419 f1ec56a9bc9e68857eed402e23fc79aa66b1bc03	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			614468		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					